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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No ·

10/767 649

Filing Date:

January 29, 2004

Art Unit:

3621

Confirmation No.:

5572

Examiner:

Unknown

Title:

SYSTEM AND METHOD FOR MATCHING TRADING ORDERS

Mail Stop Amendment

Commissioner of Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of all references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art.

The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filling of this Information Disclosure Statement.

## REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the issuance/mailing date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P. Attorneys for Applicants

Samir A. Bhavsar Reg. No. 41,617

Date: April 9, 2007

Correspondence Address:

Customer No. 05073

	1449		Application No. 10/767,649		Applicant(s) Thomas J. Daley, et al.			
Information Disclosure Citation In an Application			Docket Number 069547.0176	Group Art Un 3621		ling Date anuary 29, 2004		
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G.V.	European Patent Office Communication for Application No 05712564.3 - 1238; 3 pages.						01/08/2007	
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EXAMIN	IER: Initial if citation consider d. Include copy of this form w	ed, whether or not cita	ntion is in conformance	with MPEP § 609. Draw line	through citation if	ot in conforms	ence and not	